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CHARLES E. BAXLEY, ESQ 90 JOHN STREET-3RD FLOOR **NEW YORK, NY 10038** 05/20/2005 BABRAHA2 00000114 10643454

01 FC:2501 02 FC:1504 700.00 OP 300.00 OP

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Charles E. Baxley	
(Cherles C. Bayles	(Signature)
May 17, 2005	(Date)

APPLICATION NO.	FILING DATE		FIRST NAME	DIMACHIOK	ATTORNETT	JOCKET NO.	CONFIRMATION NO.
10/643,454	08/15/2003	Lu Tsai Huang			XXXXX.		4120
TITLE OF INVENTION: R	UNNER LOCK DEVICE FO	OR UMBRELLA			15153	В	
					(40)75 DL)	
APPLN. TYPE	SMALL ENTITY	ISSUE FEE		PUBLICATION FEE	TOTAL FEE(S) DUE		DATE DUE
nonprovisional	YES	\$700		\$300	\$1000		07/27/2005
EXAM	INER	ART UN	пт	CLASS-SUBCLASS]		
CANFIELD	O, ROBERT	3635		135-038000	•		
1. Change of correspondence	e address or indication of "F	ee Address" (37	2. For pri	nting on the patent front page, li	st	. Cha=1	og P Pa1-
CFR 1.363). Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.		(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,			es E. Baxle		
			(2) the na	me of a single firm (having as a attorney or agent) and the name	a member a	2	
☐ "Fee Address" indicate PTO/SB/47: Rev 03-02	tion (or "Fee Address" Indica or more recent) attached. Us	ation form	registered	l attorney or agent) and the named attorney or agents. If	nes of up to no name is	3	
Number is required.	or more recently attached. es	c or a Castomer	listed, no	name will be printed.		J	
3. ASSIGNEE NAME AND	RESIDENCE DATA TO B	E PRINTED ON	THE PATEN	T (print or type)			
PLEASE NOTE: Unless recordation as set forth in	an assignee is identified be 37 CFR 3.11. Completion	elow, no assignee of this form is NO	data will app T a substitute	pear on the patent. If an assign for filing an assignment.	nee is identifie	d below, the d	locument has been filed fo
(A) NAME OF ASSIGN	EE	(E	3) RESIDEN	CE: (CITY and STATE OR CO	UNTRY)		
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Please check the appropriate	assignee category or catego	ries (will not be pr	inted on the p	patent): 🗖 Individual 🗖 C	orporation or o	ther private gr	oup entity Governmen
4a. The following fee(s) are	enclosed:	41	. Payment of	Fee(s):			
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Publication Fee (No s	small entity discount permitte	ed)	Payment	by credit card. Form PTO-203	8 is attached.		
Advance Order - # of	f Copies		The Direction Deposit Acc	ector is hereby authorized by count Number <u>02-143</u>	harge the requ	ired fee(s), or lose an extra c	credit any overpayment, topy of this form).
	(from status indicated above		_				
a. Applicant claims S	MALL ENTITY status. See	37 CFR 1.27.	🖬 b. Appli	cant is no longer claiming SMA	LL ENTITY st	tatus. See 37 C	CFR 1.27(g)(2).
The Director of the USPTO NOTE: The Issue Fee and P interest as shown by the reco	is requested to apply the Issurblication Fee (if required) words of the United States Pate	ue Fee and Publica will not be accepte ent and Trademark	tion Fee (if and different times of the times of times of the times of times of the times of	ny) or to re-apply any previous e other than the applicant; a reg	ly paid issue fe istered attorney	e to the applic or agent; or t	ation identified above. he assignee or other party

This collection of information is required by 37 CFR 1.31. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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Typed or printed name Charles E. Baxley

Authorized Signature

USE.

Date May 17, 2005

Registration No. 20, 149



IN THE UNITED STATES PATENT & TRADEMARK OFFICE

Applicant:

Lu Tsai HUANG

Docket Number

: 15153 B (4075 DL)

Serial No. :

10/643,454

Examiner

: Robert CANFIELD

Filed:

August 15, 2003

Art Unit

: 3635

For :

RUNNER LOCK DEVICE FOR UMBRELLA

Transmitted herewith is/are the following:

- X PART B FEE(S) TRANSMITTAL w/MAILING CERTIFICATE
- \underline{x} Check in the amount of \$ 1,000.00
- x Other <u>Acknowledgment Postcard</u>
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Respectfully,

Dated: May 17, 2005

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USPTO REG. 20,149